


Due Date: December 9, 2002

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: John R. Subjeck et al. Examiner: S. Rawlings  
Serial No.: 09/676,340 Group Art Unit: 1642  
Filed: September 29, 2000 Docket: G&C 126.1-US-U3  
Title: STRESS PROTEIN COMPOSITIONS AND METHODS FOR PREVENTION  
AND TREATMENT OF CANCER AND INFECTIOUS DISEASE

## CERTIFICATE OF MAILING OR TRANSMISSION UNDER 37 CFR 1.8

I hereby certify that this correspondence is being filed via facsimile transmission to the U.S. Patent and Trademark Office on December 9, 2002.

By:   
Name: Karen S. Canady

AMENDMENT UNDER 37 C.F.R. §1.111

Commissioner for Patents  
Washington, D.C. 20231

Dear Sir:

In response to the Office Action dated July 9, 2002, please amend the above-identified application as follows. Please note that, in compliance with 37 C.F.R. § 1.121, the amended claims are presented in "clean" form with marked-up versions provided in the Appendix.

IN THE CLAIMS

Please cancel claims 11, 15, 24-32 and 35-45 without prejudice to Applicants' right to pursue the subject matter of these claims in a future application. In addition, please amend claims 1-3, 9, 16, 19-22, 33 and 34, and add new claims 46-69 as follows:

1. (Amended) A pharmaceutical composition comprising a stress protein complex and a physiologically acceptable carrier, wherein the stress protein complex comprises an hsp110 polypeptide and an immunogenic polypeptide.